

| 38/   |  |           |                       |  |                  |                  |  |  |  |
|---|--|-----------|-----------------------|--|------------------|------------------|--|--|--|
| 1000 TO<br>Rev. 10/95   | U.S. Department of Commerce<br>Patent and Trademark Office |           |                       | plication Number                       | 10/763,602       |                  |  |  |  |
|   |  |           | Filing Date           |  | January 22, 2004 |                  |  |  |  |
| TRANSMITTAL FORM  |  |           | First Named Inventor  |  | John W. Barrus   |                  |  |  |  |
| (to be used for all correspondence during pendency of filed application)  |  |           | Group Art Unit Number |  | Not Yet Known    |                  |  |  |  |
|   |  |           |                       | aminer Name                            | Not Yet Known    |                  |  |  |  |
| Total Number of Pag   | es in This Submission                                      | 11        | Att                   | orney Docket Number                    | 20412-08763      |                  |  |  |  |
|   | ENCLOSURES (check all that apply)                          |           |                       |  |                  |                  |  |  |  |
| Fee Transmitta  | ·  | COUNES    | (0                    | Issue Fee Transi                       |                  |                  |  |  |  |
|   | l Form (in duplicate)<br>ck Enclosed                       |           |                       |  | •                |                  |  |  |  |
| _   |  |           |                       | Letter to Chief Dr                     | rattsperson      |                  |  |  |  |
| Return Receipt  | Postcard   |           |                       | Formal Drawing(                        | s):              |                  |  |  |  |
| Response to No  | otice to File Missing Par                                  | ts        |                       |  | ) of Figure(s) [ | -                |  |  |  |
| Assignment & F  | Recordation Cover Shee                                     | et        |                       | Appeal Commun                          | ication to Board | i of Appeals and |  |  |  |
| Declaration   | •  |           |                       | Interferences                          |                  |                  |  |  |  |
| Power of Attorn   | еу   |           |                       | Appeal Commun                          |                  |                  |  |  |  |
| Application Data  | a Sheet  |           |                       | (Appeal Notice,                        | Brief, Reply Bri | ef)              |  |  |  |
| Information Disc  | closure Statement & PT                                     | O/SB/08A  |                       | Certified Copy of Priority Document(s) |                  |                  |  |  |  |
| Copie   | es of IDS Cited Reference                                  | ces       |                       | After Allowance Communication to Group |                  |                  |  |  |  |
| `   | rected Filing Receipt                                      |           |                       | Preliminary Amendment                  |                  |                  |  |  |  |
| =   | _  | ··-       |                       | Pielininaly Ante                       | mament           |                  |  |  |  |
| Request for Col   | rrection of Recorded As                                    | signment  |                       | Ш                                      |                  |                  |  |  |  |
| Amendment/Re  | esponse: [ ] Page(s)                                       |           |                       |  |                  |                  |  |  |  |
| ☐ After   | Final  |           |                       |  |                  |                  |  |  |  |
| _   |  |           |                       | <del></del>                            |                  |                  |  |  |  |
| Status Request  |  |           |                       |  |                  |                  |  |  |  |
| Revocation and Substitute Power of Attorney   |  |           |                       |  | ··               |                  |  |  |  |
| REMARKS:  |  |           |                       |  |                  |                  |  |  |  |
| SIGNATURE OF ATTORNEY OR AGENT  |  |           |                       |  |                  |                  |  |  |  |
|   |  |           |                       |  |                  |                  |  |  |  |
| Signature:  | Aabra-0  | Lune      | T                     | mesdalo                                |                  |                  |  |  |  |
| Attorney/Reg. No.:  | Sabra-Anne R. Trues  | Dated:    | 3-3(-04               |  |                  |                  |  |  |  |
|   | (  | CERTIFIC  | ATE                   | OF MAILING                             |                  |                  |  |  |  |
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |  |           |                       |  |                  |                  |  |  |  |
| Signature: Astra-ama Trussdale  |  |           |                       |  |                  |                  |  |  |  |
| Typed or Printed Nar  | ne: Sabra-Anne R.  | Truesdale |                       |  | Dated:           | 3-3-04           |  |  |  |
| Express Mail Mailing  | Number (optional):   |           |                       |  |                  |                  |  |  |  |



## FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 198

| Complete if Known    |                  |  |  |  |  |
|----------------------|------------------|--|--|--|--|
| Application Number   | 10/763,602       |  |  |  |  |
| Filing Date          | January 22, 2004 |  |  |  |  |
| First Named Inventor | John W. Barrus   |  |  |  |  |
| Examiner Name        | Not Yet Known    |  |  |  |  |
| Art Unit             | Not Yet Known    |  |  |  |  |
| Attorney Docket No.  | 20412-08763      |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)                                  |  |                     | FEE CALCULATION (continued) |             |                    |   |          |  |  |
|---|--|---------------------|-----------------------------|-------------|--------------------|---|----------|--|--|
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None     ☐ Deposit Account: |  |                     | 3. ADDITIONAL FEES          |             |                    |   |          |  |  |
| Deposit Account Number  | 19-2555  | Large               | Entity                      | Small       | Entity             | Fee Description   | Fee Paid |  |  |
| Deposit Account Name  | Fenwick & West LLP                                   | Fee<br>Code         | Fee<br>(\$)                 | Fee<br>Code | Fee<br>(\$)        |   |          |  |  |
| The Commissioner is author  | prized to: (check all that apply)                    | 1051                | 130                         | 2051        | ( <b>₽</b> )<br>65 | Surcharge - late filing fee or oath   |          |  |  |
| ☐ Charge fee(s) indicated I   | pelow 🖾 Credit any overpayments                      | 1052                | 50                          | 2052        | 25                 | Surcharge - late provisional filing fee or cover sheet                        |          |  |  |
| Charge all required fee(s   | ) or any underpayment of fee(s) due                  | 1053                | 130                         | 1053        | 130                | Non-English specification   |          |  |  |
| <ul> <li>under 37 CFR §1.16 or §<br/>application</li> </ul>               | 1.17 during the pendency of this                     | 1812                | 2,520                       | 1812        | 2,520              | For filing a request for ex parte reexamination                               |          |  |  |
| ☐ Charge fee(s) indicated I   | pelow, except for the filing fee                     | 1804                | 920*                        | 1804        | 920*               | Requesting publication of SIR prior to Examiner action                        |          |  |  |
|   |  | 1805                | 1,840*                      | 1805        | 1,840*             | Requesting publication of SIR after Examiner action                           |          |  |  |
| to the above-identified depos   |  |                     |                             |             |                    |   |          |  |  |
| FEE CA  | LCULATION  | 1251                | 110                         | 2251        | 55                 | Extension for reply within first month  |          |  |  |
| 1. BASIC FILING FEE   |  | 1252                | 420                         | 2252        | 210                | Extension for reply within second month                                       |          |  |  |
| Large Entity Small Entity   |  | 1253                | 950                         | 2253        | 475                | Extension for reply within third month  |          |  |  |
| Fee Fee Fee   | Fee Description Fee Paid                             | 1254                | 1,480                       | 2254        | 740                | Extension for reply within fourth month                                       | <u> </u> |  |  |
| Code (\$) Code (\$)   |  | 1255                | 2,010                       | 2255        | 1,005              | Extension for reply within fifth month  |          |  |  |
| 1001 770 2001 385   | Utility filing fee                                   | 1401                | 330                         | 2401        | 165                | Notice of Appeal  | <b></b>  |  |  |
| 1002 340 2002 170   | Design filing fee                                    | 1402                | 330                         | 2402        | 165<br>145         | Filing a brief in support of an appeal  |          |  |  |
| 1003 530 2003 265   | Plant filing fee                                     | 1403<br>1451        | 290<br>1,510                | 1451        | 1,510              | Request for oral hearing Petition to institute a public use proceeding        |          |  |  |
| 1004 770 2004 385<br>1005 160 2005 80                                     | Reissue filing fee Provisional filing fee            |                     | •                           | 2452        | 55                 | Petition to revive - unavoidable  | <b></b>  |  |  |
| •   | (A) 00   | 1452<br>1453        | 110                         | 2452        | 665                | Petition to revive - unintentional  |          |  |  |
| SUBTOTAL (1) (\$) .UU  2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE        |  |                     | 1,330                       | 2501        | 665                |   |          |  |  |
|   | a Claims Fee from Fee Paid                           | 1501                | 1,330                       | 2502        | 240                | Utility issue fee (or reissue)  |          |  |  |
|   | - Delow  | 1502                | 480                         |             |                    | Design issue fee  |          |  |  |
| Total Claims 31 -20**= 1  | ·     · · · · · · · · · · · · · · · · ·              | 1503                | 640                         | 2503        | 320                | Plant issue fee   |          |  |  |
| Claims 2 -3** = C   | ) × 86 = 00  | 1460                | 130                         | 1460        | 130                | Petitions to the Commissioner   |          |  |  |
| Multiple Dependent  | =  | 1807                | 50                          | 1807        | 50                 | Processing fee under 37 CFR 1.17(g)   |          |  |  |
| Large Entity Small Entity   |  | 1806                | 180                         | 1806        | 180                | Submission of Information Disclosure Stmt                                     |          |  |  |
| Fee Fee Code (\$)   | Fee Description                                      | 8021                | 40                          | 8021        | 40                 | Recording each patent assignment per property<br>(times number of properties) |          |  |  |
| 1202 18 2202 9  | Claims in excess of 20                               | 1809                | 770                         | 2809        | 385                | Filing a submission after final rejection (37 CFR 1.129(a))                   |          |  |  |
| 1201 86 2201 43   | Independent claims in excess of 3                    | 1810                | 770                         | 2810        | 385                | For each additional invention to be examined (37 CFR 1.129(b))                |          |  |  |
| 1203 290 2203 145   | Multiple dependent claim, if not paid                | 1801                | 770                         | 2801        | 385                | Request for Continued Examination (RCE)                                       |          |  |  |
| 1204 86 2204 43   | **Reissue independent claims over<br>original patent | 1802                | 900                         | 1802        | 900                | Request for expedited examination of a design application                     |          |  |  |
| 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent  |  | Other fee (specify) |                             |             |                    |   |          |  |  |
|   |  |                     |                             |             |                    |   |          |  |  |
| SUBTO   | AL (2) (\$) 198                                      |                     |                             |             |                    | SUBTOTAL (3) (\$) .00   |          |  |  |
| ⊶or number previously paid, if o  | greater; For Reissues, see above                     | ·Reduc              | ed by Basi                  | c Filing F  | ee Paid            |   |          |  |  |

| SUBMITTED BY      |                         |                                      |        |         | Complete (if applicable) |
|-------------------|-------------------------|--------------------------------------|--------|---------|--------------------------|
| Name (Print/Type) | Sabra-Anne R. Truesdale | Registration No. (Attorney/Agent) 55 | 55,687 |         | Telephone (650) 335-7187 |
| Signature         | Salora-am               | Tmesdale                             | Date   | 3-31-54 |                          |





## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

John W. Barrus, et al.

APPLICATION SERIAL NO.:

10/763,602

FILING DATE:

January 22, 2004

TITLE:

System and Method for Automatic Generation of Visual Representations and Links in a Hierarchical Messaging

System

**EXAMINER:** 

Not Yet Known

GROUP ART UNIT:

Not Yet Known

ATTY. DKT. NO.:

20412-08763

## **CERTIFICATE OF MAILING**

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Signature:

Actra- ame Truesdale

Typed or Printed Name:

Sabra-Anne R. Truesdale, Reg. No. 55,687

Dated: 3-

3-3-04

Express Mail Mailing Number (optional):

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## PRELIMINARY AMENDMENT

Sir:

Prior to examination of the above-identified patent application, please amend the above-referenced application as set forth below.

04/07/2004-JBAL-IHAN 00000047-10762602

01-FG:1202

198:00 OP

04/08/2004 JBALINAN 00000114 10763602

01 FC:1202

198.00 OP